Medical Futility Legal Tools & Limits for Resolving Disputes over Inappropriate Life-Sustaining Treatment

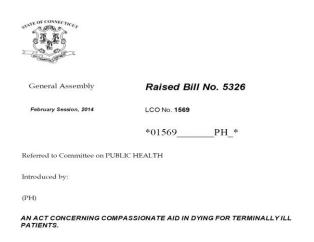
Yale Medicine • March 27, 2014

Thaddeus Mason Pope, J.D., Ph.D. Hamline University Health Law Instituțe NO relevant personal financial relationships or intent to discuss an off-label / investigative use of a commercial product or device.

2









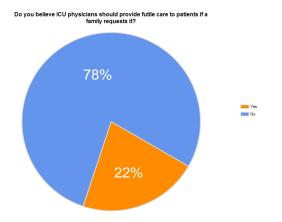


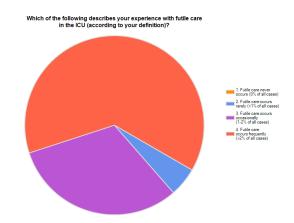
Liberty to hasten Liberty to prolong

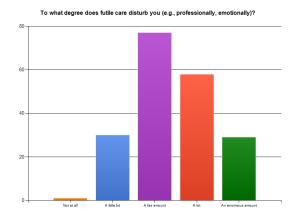




2010
~200
attendings
residents
nurses

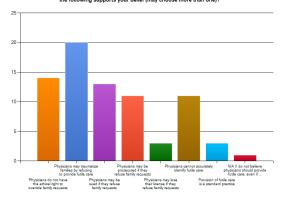




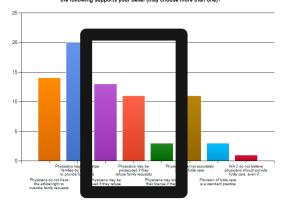




f you believe physicians should provide futile care in response to a family's request, which of the following supports your belief (may choose more than one)?



If you believe physicians should provide futile care in response to a family's request, which of







"Im afraid there's really very little I can do."

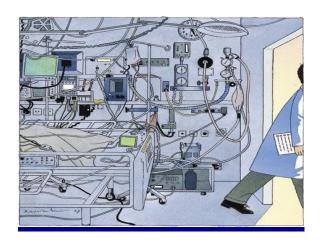
Surrogate
driven
over-treatment

Clinician

СМО

Surrogate

LSMT





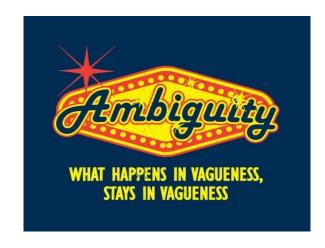


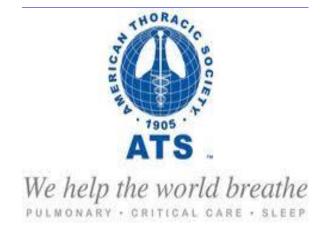
- 1. Vocabulary
- 2. Prevalence

- 3. Causes
- 4. Prevention

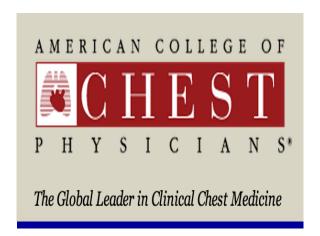
- 5. Consensus
- 6. Intractable

















- 1. Futile
- 2. Inappropriate
- 3. Potentially inappropriate

Futile treatment	Interventions that cannot accomplish the intended physiological goals	Clinicians should explain that the requested treatment is ineffective and explore the surrogates' reasons for the request.	1.	A surrogate requests antibiotics as treatment for an acute MI in a critically ill patient.
		If conflict persists or if there is any doubt about the fullify determination, clinicians should consult another qualified provider to evaluate the case. Clinicians should consider expert consultation to mediate the conflict. Institutions should retrospectively review the case to identify opportunities to prevent future similar occurrences.	2.	A clinician refuses to provide CPR in a patient with rigor mortis.

			_	
Inappropriate Treatment	Treatments which may accomplish an effect desired by the patient, but for which there are widely accepted rules that prohibit their use	1) Clinicians should work to understand the reason for the request and clearly communicate the rule that governs the request. 2) Clinicians should involve individuals with expertise in interpreting existing regulations to ensure the rule is correctly interpreted and applied. 3) Clinicians should consider involving expert consultants to assist in clear consultants on and psychosocial support. 4) Institutions should retrospectively review these cases to identify opportunities to prevent future similar occurrences.	2.	A surrogate requests long term verbilator support to a patient who is brain dead (in a state in which there are statutes permitting unilateral cessation of treatment in brain dead patients). A surrogate requests that clinicians circumvent the lung organ allocation policy to help a critically ill patient get faster access to an organ for transplantation. A patient requests a prescription for a lethal dose of barbiturates (in states where PAS is illegal).

Dispute resolution should be accomplished A surrogate requests Provisionally Treatments that Inappropriate have at least some via the process outlined in recommendation 3 ongoing mechanical Treatment and in Table 3. chance of ventilation for a patient with accomplishing the widely metastatic cancer and effect sought by the refractory multi-organ failure patient or surrogate with progressive extremity necrosis from high-dose and are not prohibited by an vasopressors. existing rule, but A surrogate requests medical initiation of dialysis for a professionals patient in a persistent believe that vegetative state competing ethical considerations justify treatment refusal.

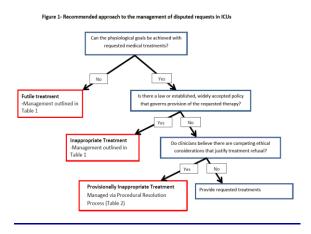


Table 2- Model policy highlighting procedural steps for resolution of conflict regarding life-sustaining treatments

1) Prior to initiation of and throughout the formal dispute resolution procedure, clinicians should enlist expert consultation to aid in achieving a negotiated agreement.

2) Surrogate(s) should be given clear notification in writing regarding the initiation of the formal conflict resolution procedure and the steps and timeline to be expected in this process.

3) Clinicians should obtain a second and independent medical opinion to verify the diagnosis and prognosis.

4) There should be case review by an interdisciplinary institutional committee.

5) If the committee agrees with the clinicians, then clinicians should offer the option to seek a willing provider at another institution and should facilitate this process.

6) If the committee agrees with the clinicians and no willing provider can be found, surrogate(s) should be informed of their right to seek appeal to an independent body.

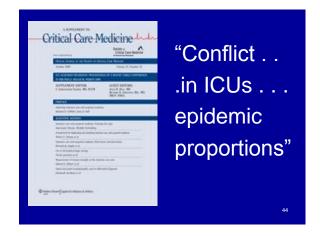
7a) If no willing provider can be found and the surrogate does not seek independent appeal or the appeal affirms the clinicians' position, clinicians may withhold or withdraw the contested treatments, and should provide high quality palliative care.

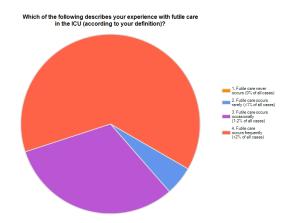
7b) If the committee agrees with the patient or surrogate's request for life prolonging treatment, clinicians should provide these treatments or transfer the patient to a willing provider.

Imminent death
Permanent unconscious
No survive outside ICU
Burdens > benefits

Value laden





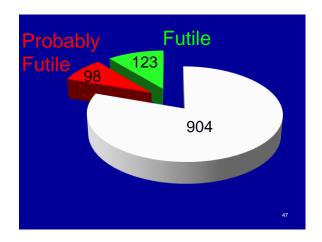


Original Investigation

The Frequency and Cost of Treatment Perceived to Be Futile in Critical Care

Thanh N. Huynh, MD, MSHS; Eric C. Kleerup, MD; Joshua F. Wiley, MA; Terrance D. Savitsky, MBA, MA, PhD; Diana Guse, MD; Bryan J. Garber, MD; Neil S. Wenger, MD, MPH

JAMA Intern Med. 2013;173(20):1887-1894. doi:10.1001/jamainternmed.2013.10261 Published online September 9, 2013.







Question and Responses ^a	Public, % (n=1006)	Professionals, % (n = 774)
If doctors believe there is no hope of recovery, which would you prefer? Life-sustaining treatments should be stopped and should focus on comfort	72.8	92.6
All efforts should continue indefinitely	20.6	2.5

- 1. Surrogate demand
- 2. Provider resist

51

Surrogate demand

Cognitive





latrogenic

Inadequate communication
Uncoordinated, conflicting
Undue pressure

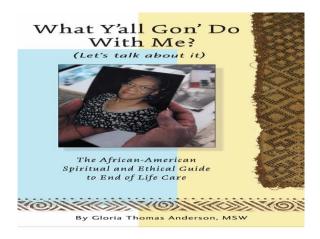
i.







Jeff Chappell of Montgomery, Ala., recalls a visit a couple of years ago to a Charlotte emergency room, near where the family





Tw itter



Emotional Barriers

62

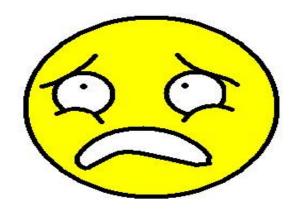




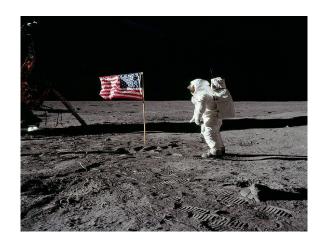


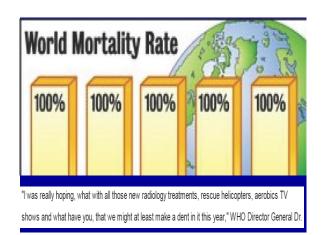
Psychological Barriers

66



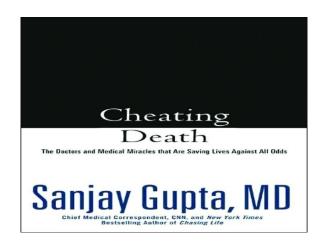


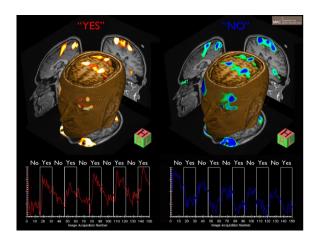


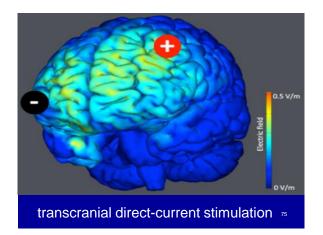




















Question and Responses ^a	Public, % (n=1006)	Professionals, % (n=774)
If the doctors treating your family member said futility had been		
reached, would you believe that divine intervention by God		
could save your family member?		
Yes	57.4	19.5
No	35.5	61.1





MORE surrogate demand

PewResearchCenter

NUMBERS, FACTS AND TRENDS SHAPING THE WORLD

NOV. 21, 2013

Views on End-of-Life

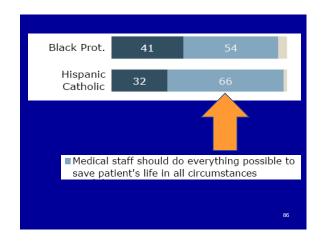
Medical Treatments

Growing Minority of Americans Say

Doctors Should Do Everything

Possible to Keep Patients Alive

Views About End-of-Life	Treat	tment	Over T	ime
% of U.S. adults				
	1990	2005	2013	Diff. 90-13
Which comes closer to your view?				
There are circumstances in which a patient should be allowed to die	73	70	66	-7
Doctors and nurses should do everything possible to save the life of a patient in all circumstances	15	22	31	+16
Don't know	12	8	3	-9
	100	100	100	



Clinicians resist









Moral distress





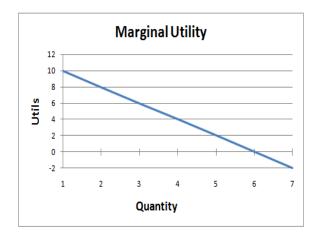
Integrity of profession



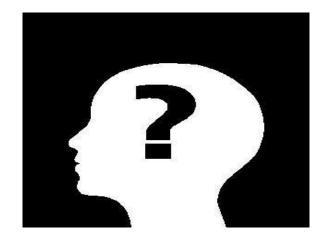








Distrust surrogate



66% accurate
50% = pure chance

Quick etiology

Prevention

105

Most patients
do NOT want
futile treatment

71%: "More important to enhance the quality of life for seriously ill patients, even if it means a shorter life."

National Journal (Mar. 2011)

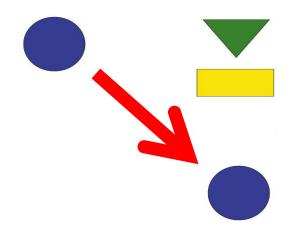
Question and Responses ^a	Public, % (n=1006)	Professionals, % (n=774)
If doctors believe there is no hope of recovery, which would you prefer? Life-sustaining treatments should be stopped and	72.8	92.6
should focus on comfort All efforts should continue indefinitely	20.6	2.5

Dying at Home: Wishes vs. Reality 80 70 67 60 30 30 20

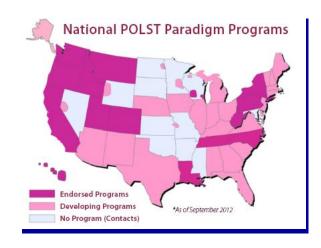
Die At Home

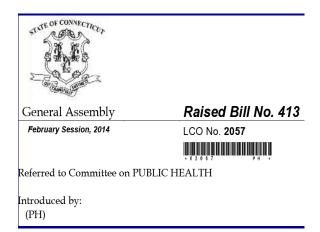
Wish To Die At Home

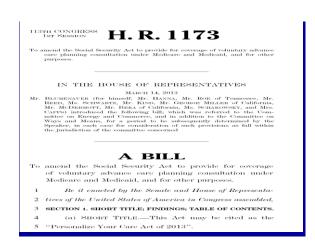
10











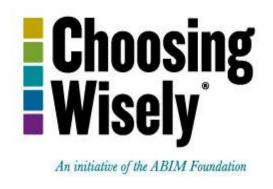


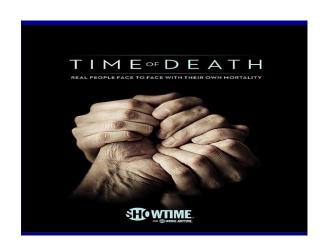


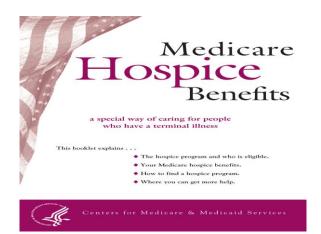




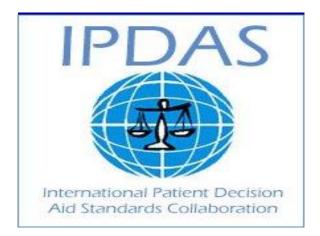
Limited effectiveness
Side effects
Options

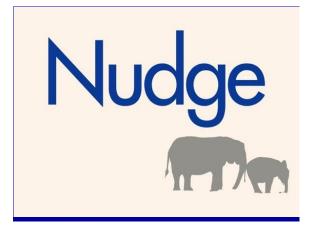














Limits to Prevention

PewResearchCenter —	NUMBERS, FACTS AND TRENDS SHAPING THE WORLD
Views on E Medical Tr Growing Minori Doctors Should I Possible to Keep	eatments ty of Americans Say Do Everything

18-29	15%	
30-49	33%	
50-64	38%	
65-74 75+	61% 58%	
ew Research Center, November 2013, "Views on End		128



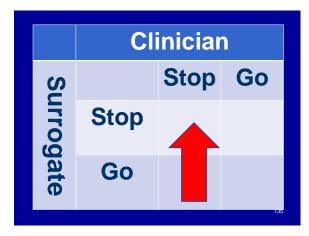




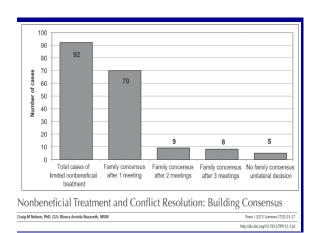


- Negotiation & Mediation
- 2. Transfer
- 3. New Surrogate

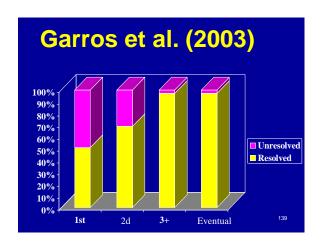
Negotiation
Mediation

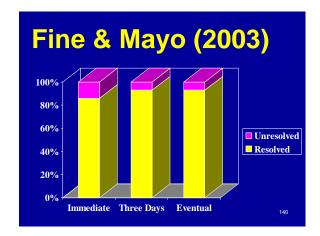


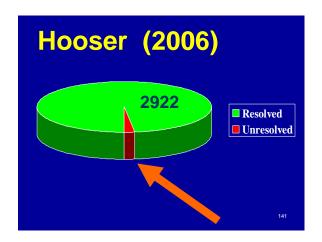
95%

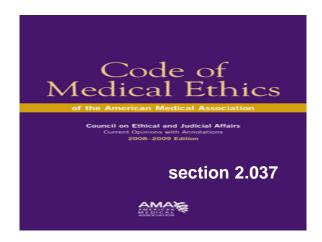


Prendergast (1998) 57% agree immediately 90% agree within 5 days 96% agree after more meetings



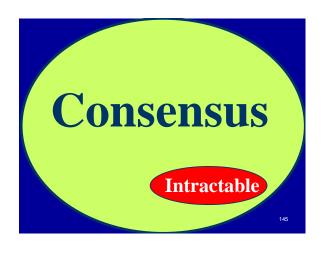


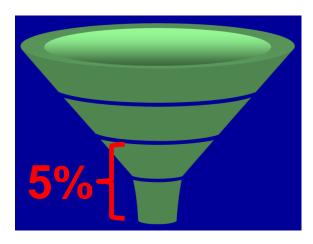




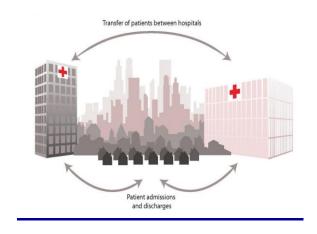
- Earnest attempts . . .
 deliberate . . .
 negotiate . . .
 Joint decision-making . . . maximum extent . .
- 3. Attempts . . .
 negotiate . . .
 reach resolution . . .

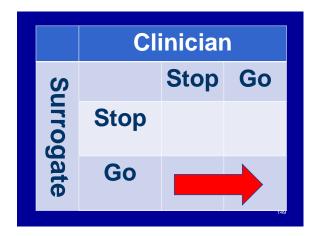
 4. Involvement . . .
 ethics committee . . .



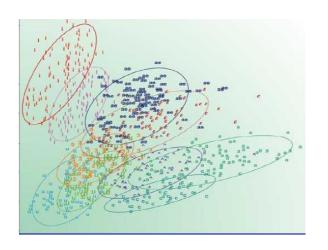








Rare, but possible



Replace Surrogate

2

	Stop	Go
Stop	4	
Go		
		Stop

Substituted judgment

Best interests

54



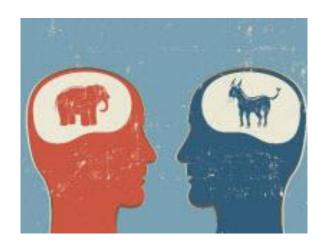
Conn. Gen. Stat.

19a-580e(a)

19a-575a(a)

19a-577

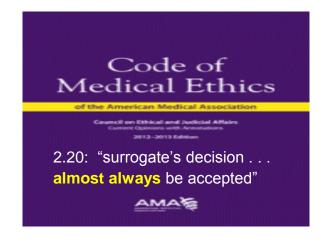
156







Improve Surrogate Accuracy







Conn. Gen. Stat. 19a-580c(b)

"claim that the actions of the person named as health care representative would interfere"

164

Reasons to Replace





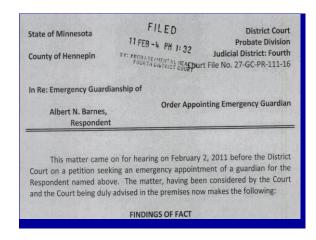








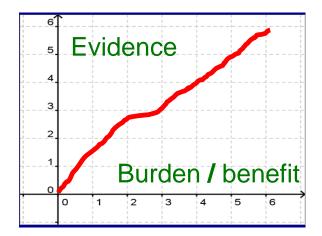


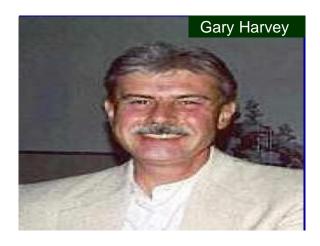












"failed to follow medical advice" "failed to use good judgment"



Your own personal issues are "impacting your decisions"

"Refocus your assessment"

LIMITS of surrogate replacement

Providers
cannot show
deviation



Surrogates
get benefit
of doubt





Surrogates loyal & faithful







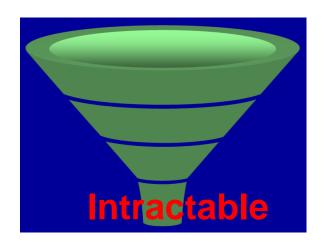




Intractable Conflict



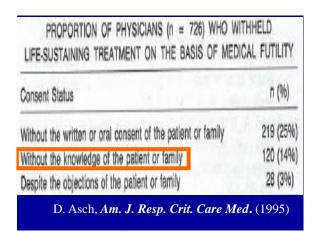




- 1. Covert
- 2. Cave-in
- 3. Act w/o consent









Providers have won almost every single damages case for unilateral w/h, w/d



Secretive
Insensitive
Outrageous

Consultation expected

Distress foreseeable

206

O'Connell v. Bridgeport Hosp. (Conn. Super. 2000)



Valentin v. St. Francis Hosp. (Conn. Super. Hartford 2005)



Marsala v. YNHH (Conn. Super. 2013)



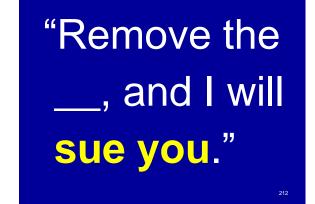


Perceptions of "futile care" among caregivers in intensive care units

Robert Sibbald MSc, James Downar MD, Laura Hawryluck MD MS

CMAJ 2007;177 (10):1201-8

"Why they follow the . . . SDMs instead of doing what they feel is appropriate, almost all cited a lack of legal support."







Easier to cave-in
Patient will die soon
Provider will round off
Nurses bear brunt

215



Civil liability

Battery
Medical malpractice
Informed consent
State HCDA
EMTALA

Licensure discipline

Criminal liability *e.g.* homicide

218

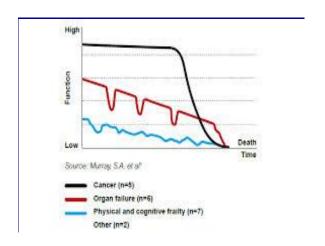
Legal Risk

Few cases





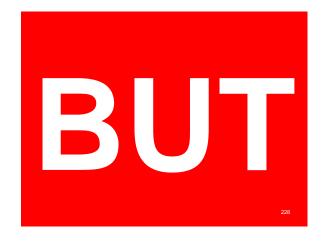














Manning (Idaho 1992)
Rideout (Pa. 1995)
Bland (Tex. 1995)
Wendland (Iowa 1998)
Causey (La. 1998)

230





232

Liability averse

Litigation averse

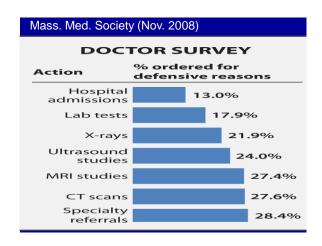
pay transaction costs
Time

Emotional energy

Process = punishment

Even prevailing parties

Defensive Medicine







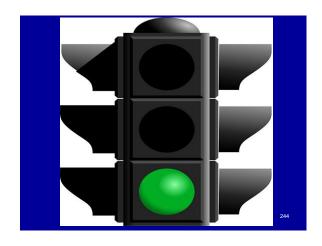




Stop without consent







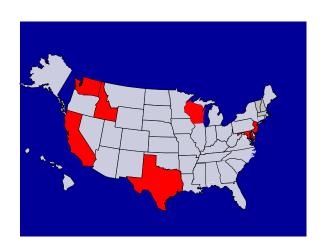


You may stop LSMT for any reason
- with immunity
- if your HEC agrees

Tex. H&S 166.046

- 1. 48hr notice HEC
- 2. Written decision
- 3. 10 day transfer





Resolution 505-08 TITLE: LEGAL SUPPORT FOR NONBENEFICIAL TREATMENT DECISIONS

Author: H Hugh Vincent, MD; William Andereck, MD

Introduced by: District 8 Delegation

Endorsed by: District 8 Delegation

CA

Reference Committee

October 4-6, 2008

WASHINGTON STATE MEDICAL ASSOCIATION HOUSE OF DELEGATES



Resolution: C-5 (A-09)

Subject: Legal Protection for Physicians When

Treatment is Considered Futile

Introduced by: King County Medical Society Delegation

Referred to: Reference Committee C

RESOLUTION 1 - 2004

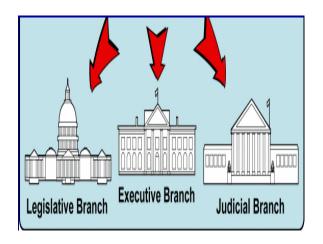
(read about the action taken on this resolution)



Subject: Futility of Care

Introduced by: Michael Katzoff, MD and the Medical Society of Milwaukee County

RESOLVED, That the Wisconsin Medical Society, concurrent with a recommendation of the American Medical Association, Medical Futility in End-of-Life Care policy E-2.037, supports the passage of state legislation which establishes a legally sanctioned extra-judicial process for resolving disputes regarding futile care, modeled after the Texas Advanced Directives Act of 1999.

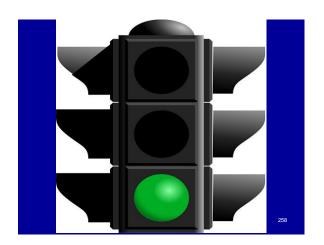






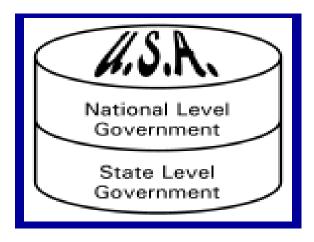










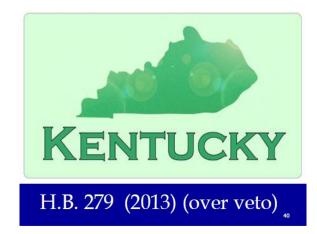


Treat 'til transfer

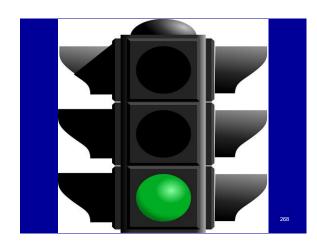






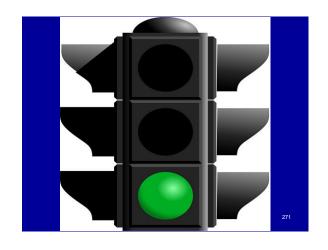






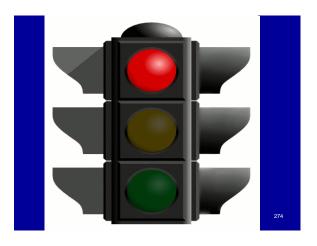
	DNR/COLST		Patient Last Name		
CLINICIAN ORDERS for DNR/CPR and OTHER LIFE SUSTAINING TREATMENT			Patient First/Middle Initial		
IRS	T follow these orders, THEN contact Clinician.		Date of Birth		
	(If patient/resident h	as no pulse and/o	r no respirations)		
A	* DO NOT RESUSCITATE (DNR)	CARDIOPU	LMONARY RESUSCITATION (CPR)		
			ttempt Resuscitation		
	For patient who is breathing and/or has a pulse, GO TO SECTION B – G, PAGE 2 FOR OTHER INSTRUCTIONS, CLINICIANS MUST COMPLETE SECTIONS A-1 THROUGH A-5				
			SECTIONS A-1 THROUGH A-5		
	A-1 Basis for DNR Order Informed Consent - Complete Section A-2 Futility - Complete Section A-3		SECTIONS A-1 THROUGH A-5		
	Informed Consent - Complete Section A-2				
	Informed Consent - Complete Section A-2 Futility - Complete Section A-3 A-2 Informed Consent	E (DNR) Order has			
	Informed Consent - Complete Section A-2 Futility - Complete Section A-3 A-2 Informed Consent Informed Consent for this DO NOT RESUSCITAT Name of Person Giving Informed Consent (Can be	E (DNR) Order has	been obtained from:		
_	Informed Consent - Complete Section A-2 Futility - Complete Section A-3 A-2 Informed Consent Informed Consent for this DO NOT RESUSCITAT Name of Person Giving Informed Consent (Can be	E (DNR) Order has	been obtained from:		

Patient's Last Name, First, Middle Initial	Date of Birth	☐ Male ☐ Female
This form includes medical orders for Emergency Medical S other life-ustaining treatment options for a specific patient. shall be leapt with other active medical orders in the patient's the form and then sign and date it. The physician or nurse Sections that apply to this patient. If any of Sections 2-9 do be given to the patient or authorized decision maker within 41	It is valid in all health care facilities as medical record. The physician or nurs practitioner shall select only 1 choice not apply, leave them blank. A copy or	nd programs throughout Maryland. This order for se practitioner must accurately and legibly comple in Section 1 and only 1 choice in any of the oth r the original of every completed MOLST form mu
CERTIFICATION FOR THE BASIS OF THESE OF	RDERS: Mark any and all that a	apply.
I hereby certify that these orders are entered as a	result of a discussion with and the	he informed consent of:
the patient; or the patient's health care agent as nam	and in the nationt's advance dire	ofine: or
the patient's quardian of the person as		
the patient's surrogate as per the auth		
	ority granted by the Heath Care	Decisions Act; or
the patient's surrogate as per the auth	ority granted by the Heath Care egal guardian or another legally	Decisions Act; or
the patient's surrogate as per the auth	ority granted by the Heath Care egal guardian or another legally	Decisions Act; or
the patient's surrogate as per the auth if the patient is a minor, the patient's le Or, I hereby certify that these orders are based on:	ority granted by the Heath Care egal guardian or another legally : irective: or thall provisions of the Health Ca	Decisions Act; or authorized adult.















Consent always

8



"If surrogate directs [LST]... provider that does not wish to provide... shall nonetheless comply...."

CECRETORIAN CONTROL OF THE PRODUCTION OF THE PRO

Discrimination in Denial of Life Preserving Treatment Act

281

"Health care . . . may not be . . . denied if . . . directed by . . . surrogate"

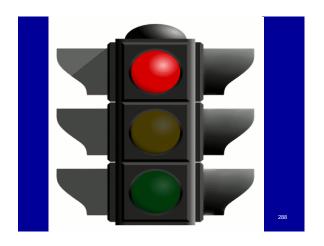








SDM	Red Light
Agent / POA	Yes
Default surrogate	No; Maybe
Guardian	No; Maybe









"I...
come in .
.. and
use the
law to
say stop"

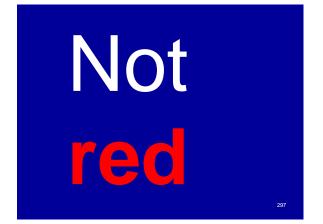
Life & death stakes
Unclear facts
Unclear law





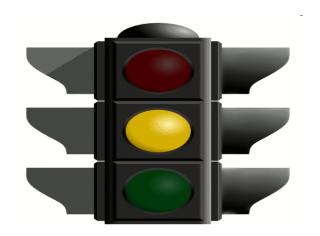












Physician "withholds, removes . . . life support . . . of an incapacitated patient shall not be liable provided (1) . . . (2) . . . (3) . . . "



terminal condition

or

permanently
unconscious



"attending
physician has
considered the
patient's wishes"





Marsala v. YNHH (Conn. Super. 2013)



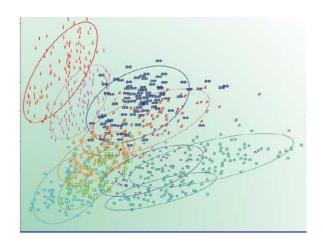
"No current law exists that will give . . . immunity . . . If you refuse to treat a certain way."



"best medical judgment of the attending physician"

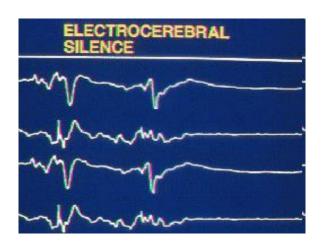
"in accordance with the usual and customary standards of medical practice"

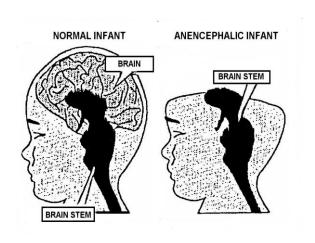




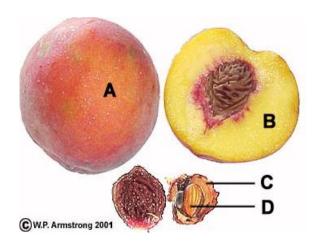
















Safe harbor attributes

Clear

Precise

Concrete

Certain

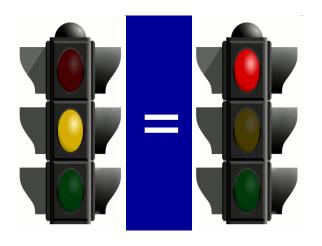


TX
Measurable
procedures

CT

Vague substantive standards









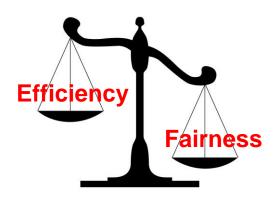






Future







Thaddeus Mason Pope

Director, Health Law Institute Hamline University School of Law 1536 Hewitt Avenue Saint Paul, Minnesota 55104

T 651-523-2519

F 901-202-7549

E tpope01@hamline.edu

W www.thaddeuspope.com

B medicalfutility.blogspot.com

References

338

Medical Futility Blog

Since July 2007, I have been blogging, almost daily, to medicalfutility.blogspot.com. This blog is focused on reporting and discussing legislative, judicial, regulatory, medical, and other developments concerning medical futility and end-of-life medical treatment conflict. The blog has received over 550,000 direct visits. Plus, it is distributed through RSS, email, Twitter, and republishers like Westlaw, Bioethics.net, Wellsphere, and Medpedia.

339

Pope TM, Dispute Resolution Mechanisms for Intractable Medical Futility Disputes, 58 N.Y.L. SCH. L. REV. 347-368 (2014) .

Pope TM & White DB, *Patient Rights, in* OXFORD TEXTBOOK OF CRITICAL CARE (2d ed., Webb et al., eds., forthcoming 2014).

Pope TM & White DB, *Physician Power, in* OXFORD HANDBOOK OF DEATH AND DYING (Robert Arnold & Stuart Younger eds., forthcoming 2014).

340

White DB & Pope TM, *The Courts, Futility, and the Ends of Medicine*, 307(2) JAMA 151-52 (2012).

Pope TM, *Physicians and Safe Harbor Legal Immunity*, 21(2) ANNALS HEALTH L. 121-35 (2012).

Pope TM, Medical Futility, in GUIDANCE FOR HEALTHCARE ETHICS COMMITTEES ch.13 (MD Hester & T Schonfeld eds., Cambridge University Press 2012).

341

Pope TM, Review of LJ Schneiderman & NS Jecker, Wrong Medicine: Doctors, Patients, and Futile Treatment, 12(1) AM. J. BIOETHICS 49-51 (2012).

Pope TM, Responding to Requests for Non-Beneficial Treatment, 5(1) MD-ADVISOR: A J FOR THE NJ MED COMMUNITY (Winter 2012) at 12-17.

Pope TM, Legal Fundamentals of Surrogate Decision Making, 141(4) CHEST 1074-81 (2012).

34Z

Pope TM, Legal Briefing: Medically Futile and Non-Beneficial Treatment, 22(3) J. CLINICAL ETHICS 277-96 (Fall 2011).

Pope TM, Surrogate Selection: An Increasingly Viable, but Limited, Solution to Intractable Futility Disputes, 3 ST. LOUIS U. J. HEALTH L. & POL'Y 183-252 (2010).

Pope TM, Legal Briefing: Conscience Clauses and Conscientious Refusal, 21(2) J. CLINICAL ETHICS 163-180 (2010).

343

Pope TM, The Case of Samuel Golubchuk: The Dangers of Judicial Deference and Medical Self-Regulation, 10(3) AM. J. BIOETHICS 59-61 (Mar. 2010).

Pope TM, Restricting CPR to Patients Who Provide Informed Consent Will Not Permit Physicians to Unilaterally Refuse Requested CPR, 10(1) AM. J. BIOETHICS 82-83 (Jan. 2010).

Pope TM, Legal Briefing: Medical Futility and Assisted Suicide, 20(3) J. CLINICAL ETHICS 274-86 (2009).

244

Pope TM, Involuntary Passive Euthanasia in U.S. Courts: Reassessing the Judicial Treatment of Medical Futility Cases, 9 MARQUETTE ELDER'S ADVISOR 229-68 (2008).

Pope TM, Institutional and Legislative Approaches to Medical Futility Disputes in the United States, Invited Testimony, President's Council on Bioethics (Sept. 12, 2008).

345

Pope TM, Medical Futility Statutes: No Safe Harbor to Unilaterally Stop Life-Sustaining Treatment, 75 TENN. L. REV. 1-81 (2007).

Pope TM, Mediation at the End-of-Life: Getting Beyond the Limits of the Talking Cure, 23 OHIO ST. J. ON DISP. RESOL. 143-94 (2007).

Pope TM, Philosopher's Corner: Medical Futility, 15 MID-ATLANTIC ETHICS COMM. NEWSL, Fall 2007, at 6-7

