Jahi Mcmath & Medical Futility: California Law on Therapeutic Obstinacy & Non-Beneficial Treatment

UCLA • February 25, 2014

Thaddeus Mason Pope, J.D., Ph.D. Hamline University Health Law Institute

Preface

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NO relevant personal financial relationships or intent to discuss an off-label / investigative use of a commercial product or device.











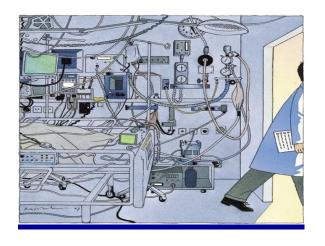




Surrogate driven over-treatment

Clinician Surrogate

CMO LSMT





End orientation

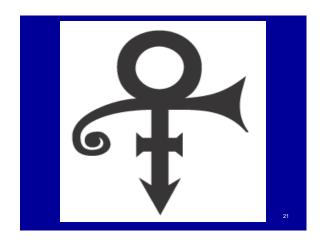
- 1. Vocabulary
- 2. Prevalence
- 3. Causes
- 4. Prevention

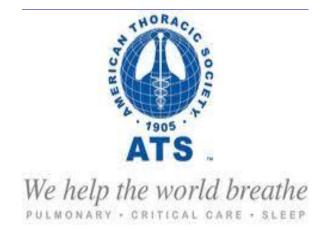
5. Consensus

6. Intractable

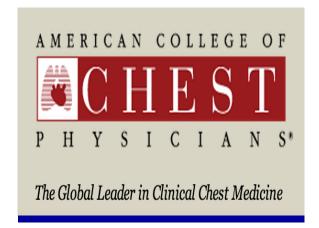
Vocabulary

20















- 1. Futile
- 2. Inappropriate
- 3. Provisionally inappropriate

8

Futile Interventions that treatment cannot accomplish the intended physiological goals 2) If conflict persists or if there is any doubt about the futility determination, clinicians should consult another qualified provider to evaluate the case. 3) Clinicians should consider expert consultation to mediate the conflict. 4) Institutions should retrospectively review the case to identify opportunities to prevent future similar occurrences.	2.	A surrogate requests antibiotics as treatment for an acute MI in a critically ill patient. A clinician refuses to provide CPR in a patient with rigor mortis.

Inappropriate	Treatments which	1) Clinicians should work to understand the	1.	A surrogate requests long
Treatment	may accomplish an effect desired by the patient, but for which there are widely accepted rules that prohibit their use	reason for the request and clearly communicate the rule that governs the request. 2) Clinicians should involve individuals with expertise in interpreting existing regulations to ensure the rule is correctly interpreted and applied. 3) Clinicians should consider involving expert consultants to assist in clear communication and psychosocial support. 4) Institutions should retrospectively review these cases to identify opportunities to prevent future similar occurrences.	2.	term ventilator support to a patient who is brain dead (in a state in which there are statutes permitting unilateral cessation of treatment in brain dead patients). A surrogate requests that cliniciars circumvent the lung organ allocation policy to help a critically ill patient get faster access to an organ for transplantation. A patient requests a per barbitrurates (in states where PAS is illenal)

Provisionally Inappropriate Treatment Treatments that have at least some chance of accomplishing the effect sought by the patient or surrogate and are not prohibited by an existing rule, but medical professionals believe that competing ethical considerations justify treatment refusal.

Dispute resolution should be accomplished via the process outlined in recommendation 3

- A surrogate requests ongoing mechanical ventilation for a patient with widely metastatic cancer and refractory multi-organ failure with progressive extremity necrosis from high-dose vasopressors.
- A surrogate requests
 initiation of dialysis for a
 patient in a persistent
 vegetative state

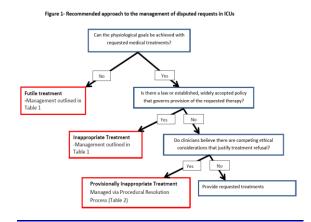


Table 2- Model policy highlighting procedural steps for resolution of conflict regarding life-sustaining treatments

1) Prior to initiation of and throughout the formal dispute resolution procedure, clinicians should enlist expert consultation to aid in achieving a negotiated agreement.

2) Surrogate(s) should be given clear notification in writing regarding the initiation of the formal conflict resolution procedure and the steps and timeline to be expected in this process.

3) Clinicians should obtain a second and independent medical opinion to verify the diagnosis and prognosis.

4) There should be case review by an interdisciplinary institutional committee.

5) If the committee agrees with the clinicians, then clinicians should offer the option to seek a willing provider at another institution and should facilitate this process.

6) If the committee agrees with the clinicians and no willing provider can be found, surrogate(s) should be informed of their right to seek appeal to an independent body.

7a) If no willing provider can be found and the surrogate does not seek independent appeal or the appeal affirms the clinicians' position, clinicians may withhold or withdraw the contested treatments, and should provide high quality palliative care.

Original Investigation

The Frequency and Cost of Treatment Perceived to Be Futile in Critical Care

Thanh N. Huynh, MD, MSHS; Eric C. Kleerup, MD; Joshua F. Wiley, MA; Terrance D. Savitsky, MBA, MA, PhD; Diana Guse, MD; Bryan J. Garber, MD; Neil S. Wenger, MD, MPH

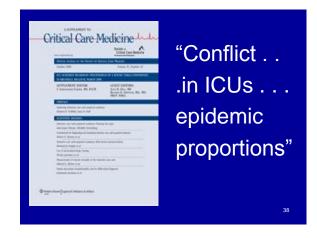
JAMA Intern Med. 2013;173(20):1887-1894. doi:10.1001/jamainternmed.2013.10261 Published online September 9, 2013.

- 1. No meet patient goal
- 2. Imminent death
- 3. Permanent unconscious
- No survive outside ICU
- 5. Burden > benefit

35

Value laden



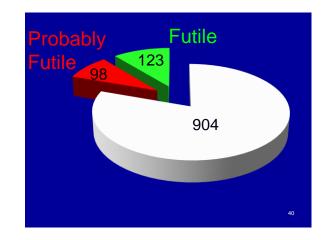


Original Investigation

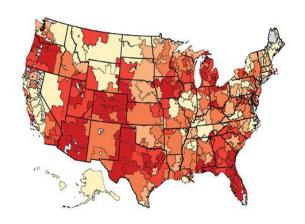
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Clinician driven over-treatment





Question and Responses ^a	Public, % (n=1006)	Professionals, % (n = 774)
If doctors believe there is no hope of recovery, which would you prefer? Life-sustaining treatments should be stopped and should focus on comfort	72.8	92.6
All efforts should continue indefinitely	20.6	2.5

- 1. Surrogate demand
- 2. Provider resist

Surrogate demand

Cognitive



latrogenic

Inadequate communication
Uncoordinated, conflicting
Undue pressure

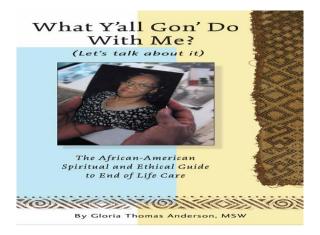
49







Jeff Chappell of Montgomery, Ala., recalls a visit a couple of years ago to a Charlotte emergency room, near where the family







Emotional Barriers

56





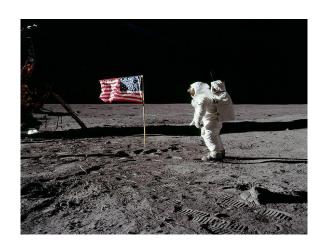


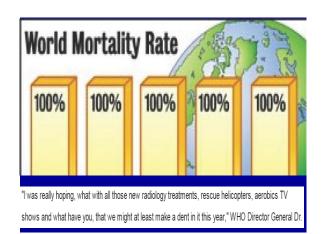
Psychological Barriers

60

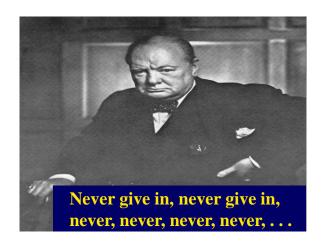


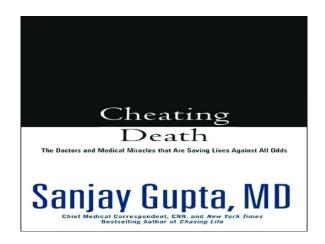


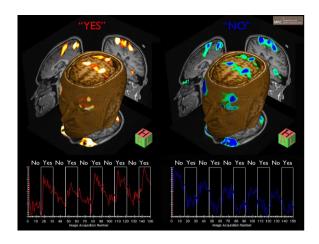








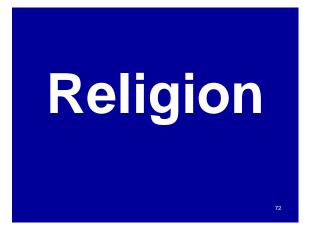












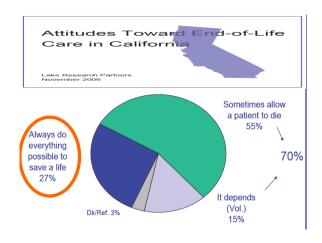
Question and Responses a	Public, % (n=1006)	Professionals, % (n=774)
If the doctors treating your family		
member said futility had been reached, would you believe that		
divine intervention by God		
could save your family		
member?		
Yes	57.4	19.5
No	35.5	61.1

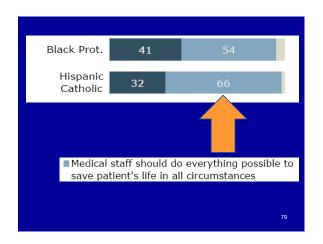






Views About End-of-Life Treatment Over Time				
% of U.S. adults				
	1990	2005	2013	Diff. 90-13
Which comes closer to your view?				
There are circumstances in which a patient should be allowed to die	73	70	66	-7
Doctors and nurses should do everything possible to save the life of a patient in all circumstances	15	22	31	+16
Don't know	12	8	3	-9
Don't know	100	100	100	,
	100	100	100	





Clinicians resist

Avoid patient suffering







Moral distress





Absenteeism Retention Quality

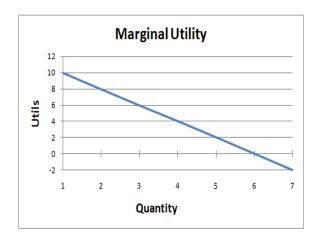
Integrity of profession















66% accurate
50% = pure chance

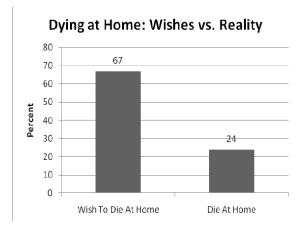


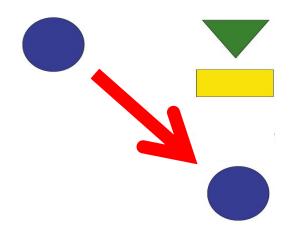


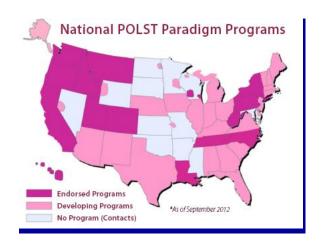
71%: "More important to enhance the quality of life for seriously ill patients, even if it means a shorter life."

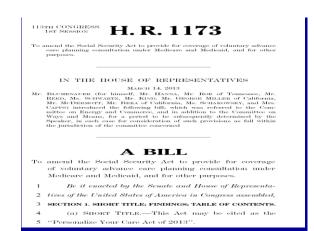
National Journal (Mar. 2011)

Question and Responses ^a	Public, % (n=1006)	Professionals, % (n=774)
If doctors believe there is no hope of recovery, which would you prefer? Life-sustaining treatments should be stopped and should focus on comfort	72.8	92.6
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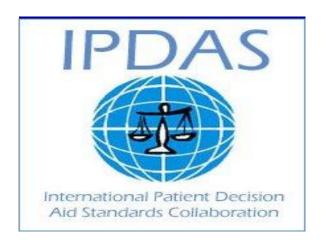


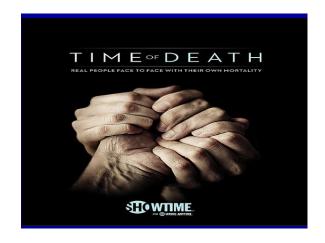


Limited effectiveness
Side effects
Options



An initiative of the ABIM Foundation





Limits to Prevention

NOV. 21. 2013

Views on End-of-Life

Medical Treatments

Growing Minority of Americans Say

Doctors Should Do Everything

Possible to Keep Patients Alive

30%

18-29 15%
30-49 33%
50-64 38%
65-74 61%
75+ 58%

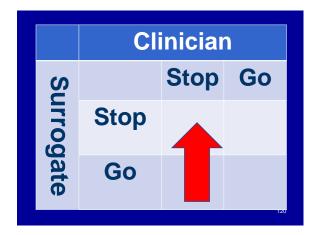


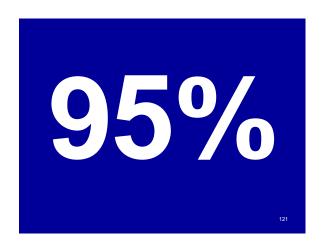


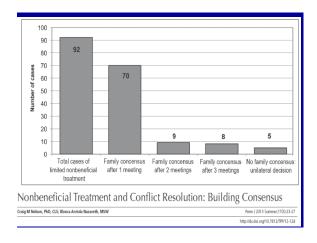


Negotiation &
 Mediation
 Transfer
 New Surrogate

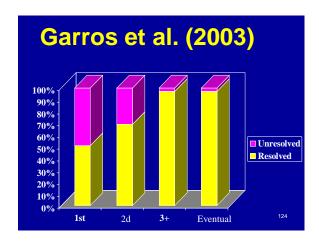
Negotiation
Mediation

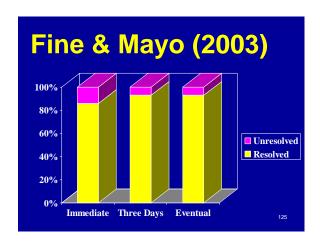


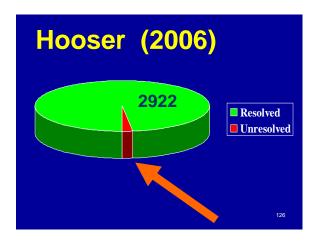


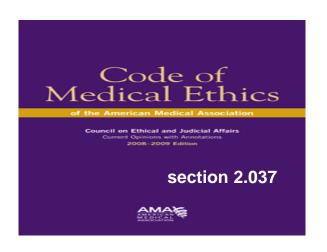


Prendergast (1998) 57% agree immediately 90% agree within 5 days 96% agree after more meetings







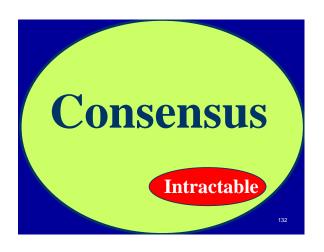


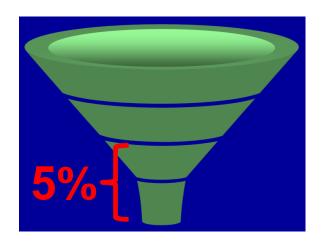
Earnest attempts . . .
 deliberate . . .
 negotiate . .
 Joint decision-making . . . maximum extent . .

3. Attempts . . . negotiate . . . reach resolution . . .4. Involvement . . . ethics committee . . .



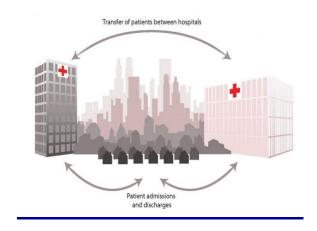
95%

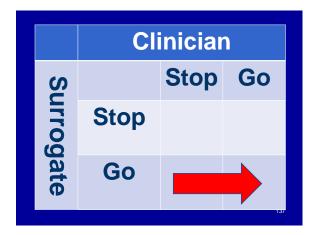






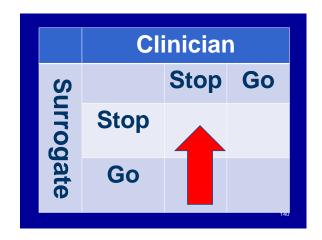






Rare, but possible

Replace Surrogate



Substituted judgment

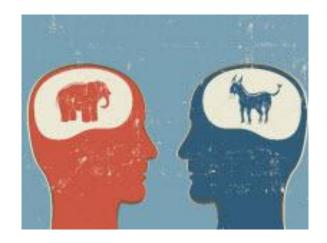
Best interests

141

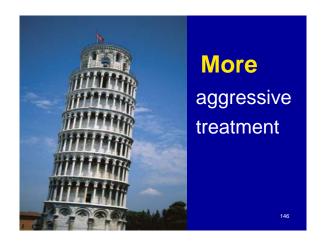


Cal. Prob. Code 4684, 4714

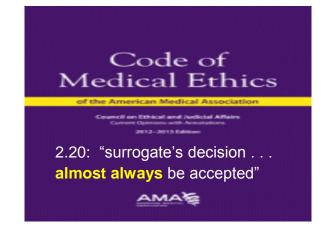
"... in accordance...
health care instructions.
.. and other wishes...
otherwise,... in
accordance with... best
interest."

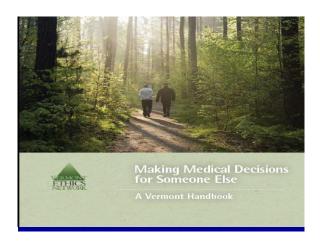


~ 60% accuracy



Improve Surrogate Accuracy







Cal. Prob. Code 4766(c)

"petition . . . whether . . . agent or surrogate . . . **consistent** . . . patient's desires . . . best interest."

151



Cal. Prob. Code 4740(b)

"Declining to comply with a health care decision of a person based on a belief that the person then lacked authority."

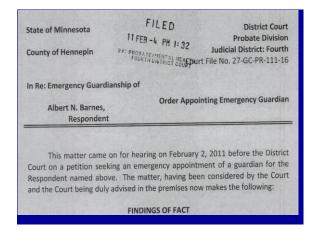
Reasons to Replace









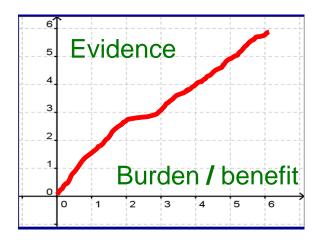


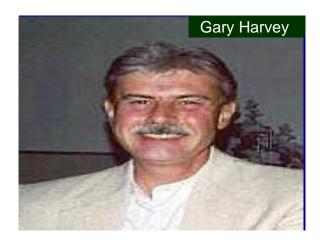




Bernstein
v.
Superior
Court of
Ventura
County
(Feb. 2, 2009).







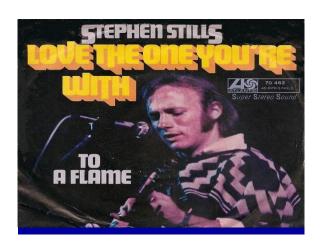
"failed to follow medical advice" "failed to use good judgment"

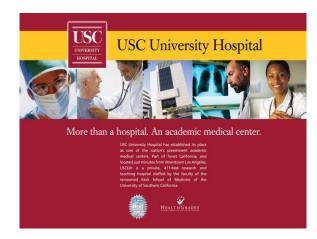


Your own personal issues are "impacting your decisions"

"Refocus your assessment"

Option Duty





Plascentia McDonald, 74yo

Advance directive:

- 1. Bobby is agent
- 2. Cynthia is alternate
- 3. "Do No prolong life if incurable condition"

Aug. 14

Surgery thoracoabdominal aneurysm

Post-op infections

Aug. 30

Sepsis, non-cognitive

Continued LSMT

3 additional surgeries

Disagrees w/ brother



USC: Probate Code 4740 immunizes providers who "in good faith comply with a health care decision made by one whom they believe authorized."

Court: "Compliance with agent's decision . . . at odds with the patient's own . . . AHCD . . . not qualify as in good faith."

Agent **not** authorized to depart from AD

USC should have known that

Limits of surrogate replacement

Providers
cannot show
deviation



Surrogates
get benefit
of doubt

Cal. Prob. Code 4733

"provider . . .
 shall comply . .
 instruction . . .
 decision"



Surrogates
are faithful









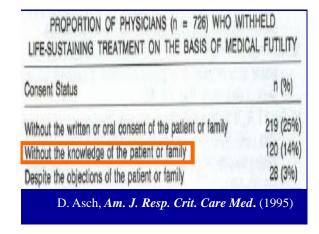


Intractable Conflict

- 1. Covert
- 2. Cave-in
- 3. Unilateral stop



Without legal support to w/d or w/h openly and transparently, some do it covertly.





Providers have won
almost every single
damages case for
unilateral w/h, w/d



Secretive
Insensitive
Outrageous

"provider . . . that declines to comply . . . shall . . . promptly so inform . . ."

Prob. Code § 4736



"Why they follow the . . .

SDMs instead of doing what they feel is appropriate, almost all cited a lack of legal support."

Perceptions of "futile care" among caregivers in intensive

"Remove the ___, and I will sue you."





Easier to cave-in

Patient will die soon
Provider will round off
Nurses bear brunt

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Civil liability

Battery
Medical malpractice
Informed consent
State HCDA
EMTALA

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Licensure discipline

Criminal liability *e.g.* homicide

210

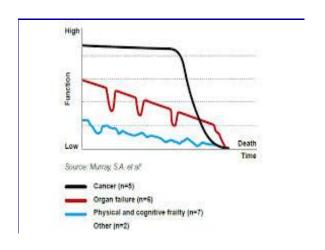
Legal Risk

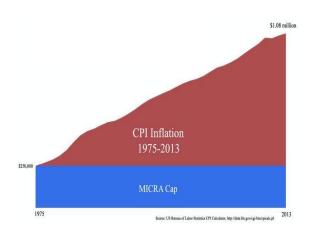






\$250,000

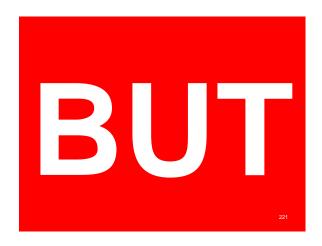


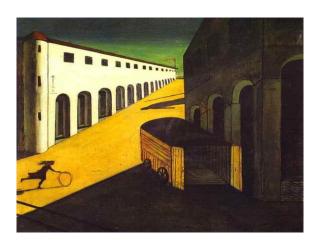














Manning (Idaho 1992)
Rideout (Pa. 1995)
Bland (Tex. 1995)
Wendland (Iowa 1998)
Causey (La. 1998)

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Liability averse

Litigation averse

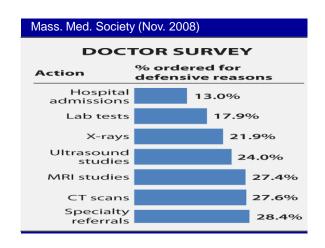
Process = punishment

Even prevailing parties pay transaction costs

Time

Emotional energy

Defensive Medicine









"in the medical environment . . . practically everything is regulated; regulation is the default, and only what is regulated is considered safe and acceptable."

Stop without consent

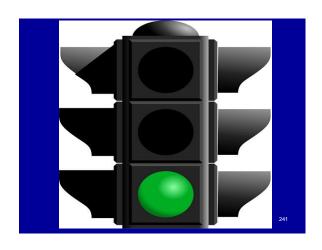














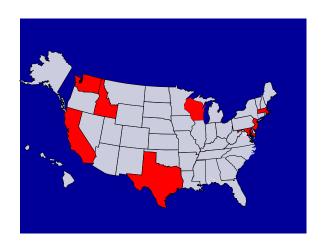
You may stop LSMT for any reason

- with immunity
- if your HEC agrees

Tex. H&S 166.046

- 1. 48hr notice
- 2. HEC meeting
- 3. Written decision
- 4. 10 days to transfer
- 5. Unilateral WH/WD





Resolution 505-08

TITLE: LEGAL SUPPORT FOR NONBENEFICIAL TREATMENT DECISIONS

Author: H Hugh Vincent, MD;

William Andereck, MD

Introduced by: District 8 Delegation

Endorsed by: District 8 Delegation

CA

Reference Committee

October 4-6, 2008

WASHINGTON STATE MEDICAL ASSOCIATION HOUSE OF DELEGATES



Resolution: C-5 (A-09)

Subject: Legal Protection for Physicians When

Treatment is Considered Futile

Introduced by: King County Medical Society Delegation

Referred to: Reference Committee C

RESOLUTION 1 - 2004

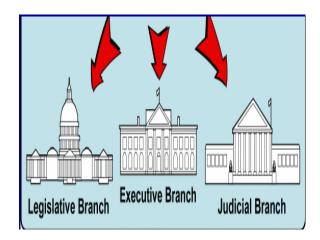
(read about the action taken on this resolution)



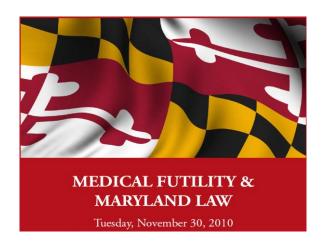
Subject: Futility of Care

Introduced by: Michael Katzoff, MD and the Medical Society of Milwaukee County

RESOLVED, That the Wisconsin Medical Society, concurrent with a recommendation of the American Medical Association, Medical Futility in End-of-Life Care policy E-2.037, supports the passage of state legislation which establishes a legally sanctioned extra-judicial process for resolving disputes regarding futile care, modeled after the Texas Advanced Directives Act of 1999.

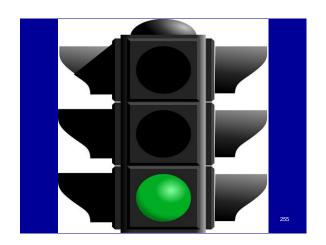














Treat
'til
transfer





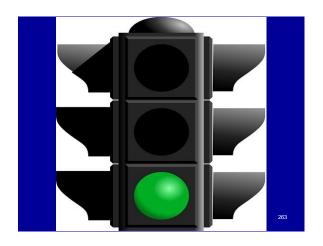
L.B. 564 (2013)

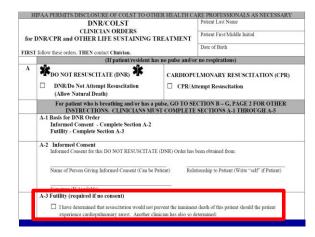




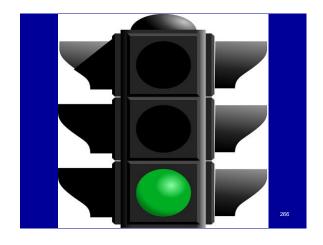
H.B. 279 (2013) (over veto)





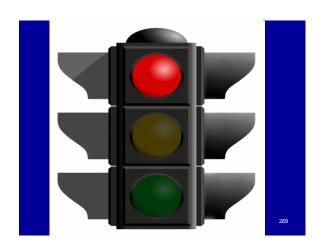


Maryland Medical Orders for Life-Sustaining Treatment (MOLST) Patient's Last Name, First, Middle Initial Date of Birth ☐ Male ☐ Female This form includes medical orders for Emergency Medical Services (EMS) and other medical personnel regarding cardiopulmonary resuscitation and other life-sustaining treatment options for a specific patient. It is valid in all health care facilities and programs throughout Maryland. This order form shall be lated with other active medical orders in the patient's medical record. The physician or nurse protrioner must accurately and legibly complete the form and then sign and date it. The physician or nurse protrioner shall allect only 1 choice in Section 1 and only 1 choice in any of the other Sections that apply to this patient. If any of Sections 2-9 do not apply, leave them blank. A copy or the original of every completed MIOLST form in be given to the patient or authorized decision maker within 48 hours of completion of the form or scorer if the patient is discharged or transferred. CERTIFICATION FOR THE BASIS OF THESE ORDERS: Mark any and all that apply I hereby certify that these orders are entered as a result of a discussion with and the informed consent of: the patient or the patient's health care agent as named in the patient's advance directive; or the patient's guardian of the person as per the authority granted by a court order; or the patient's surrogate as per the authority granted by the Heath Care Decisions Act; or if the patient is a minor, the patient's legal guardian or another legally authorized adult. Or, I hereby certify that these orders are based on: instructions in the patient's advance directive; or other legal authority in accordance with all provisions of the Health Care Decisions Act. All supporting documentation must be contained in the patient's medical records.















"If surrogate directs [LST] . . . provider that does not wish to provide . . . shall nonetheless comply"

COEUR OF ALENETON AND ALENETON

Discrimination in Denial of Life Preserving Treatment Act

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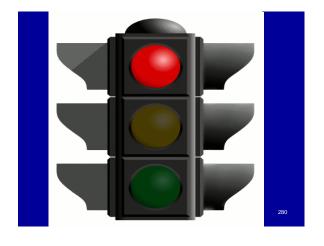
"Health care may not be . . . denied if directed by . . . surrogate"







SDM	Red Light
Agent / POA	Yes
Default surrogate	No; Maybe
Guardian	No; Maybe









"I...
come in .
.. and
use the
law to
say stop"

Life & death stakes
Unclear facts
Unclear law





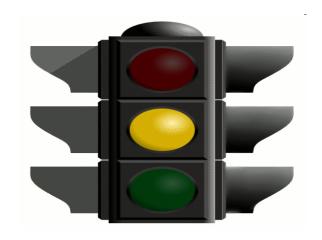




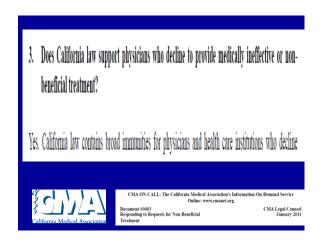


Not green either

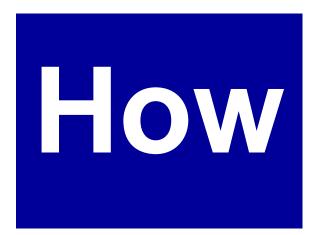




"provider . . . may decline to comply . . contrary to generally accepted health care standards..." 4735 "provider . . . acting in good faith and in accordance with generally accepted health care standards . . . not subject to civil or criminal liability or to discipline..."







"Provide continuing care.... until a transfer can be accomplished OR until it appears that a transfer cannot be accomplished." Prob. Code 4736(c)





"[lf] decline . . .
provide continuing
care . . . until a
transfer can be
effected

16 Del. Code 2508(g)(2)

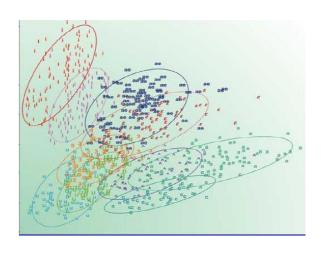


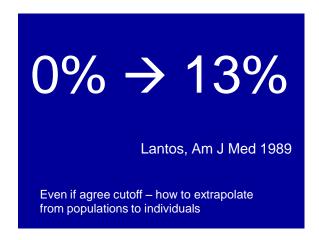




"generally accepted health care standards"

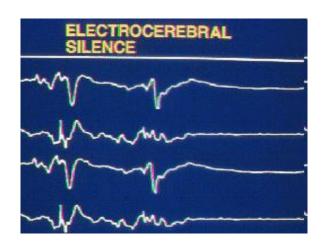


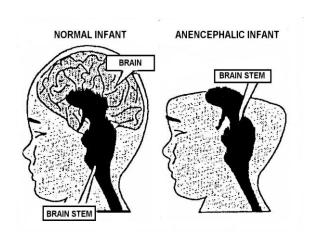




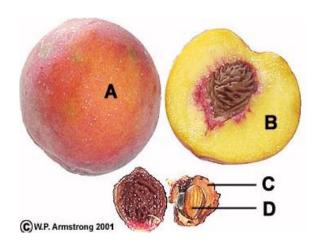








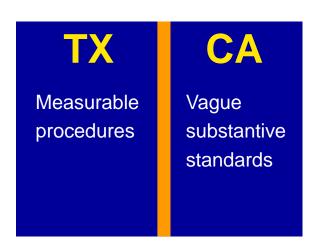






Safe harbor attributes Clear Precise Concrete Certain







TITLE: LEGAL SUPPORT FOR NONBENEFICIAL TREATMENT DECISIONS

Author: H Hugh Vincent, MD; William Andereck, MD Introduced by: District 8 Delegation

Endorsed by: District 8 Delegation

Reference Committee

October 4-6, 2008

WHEREAS, it is still common for physicians who feel non-beneficial or futile treatments are being provided or considered to feel threatened by legal action by the patient's family or other surrogates, and thus continue to provide such care against their best medical judgment; and

That CMA support legislation or other changes in codes which will support physicians who appropriately invoke and follow accepted policies











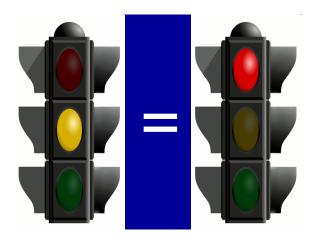
Future

6



School of thought

Parris v. Sands (1993) Barton v. Owen (1977)





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Medical Futility Blog

Since July 2007, I have been blogging, almost daily, to **medicalfutility.blogspot.com**. This blog is focused on reporting and discussing legislative, judicial, regulatory, medical, and other developments concerning medical futility and end-of-life medical treatment conflict. The blog has received over 550,000 direct visits. Plus, it is distributed through RSS, email, Twitter, and republishers like Westlaw, Bioethics.net, Wellsphere, and Medpedia.

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Community standards vs. locality rule



Model Policy on "Non-beneficial Treatment"

Lynette Cederquist, MD, July 2009 "San Diego Physician" • Ethics in Medicine medicine)



Penalties for over-treatment







