

# Do Clinicians Always Need Consent to Stop Life-Sustaining Treatment?

Arizona Bioethics Network  
June 19, 2014

Thaddeus Mason Pope, J.D., Ph.D.  
Hamline University Health Law Institute

# Brain death

---

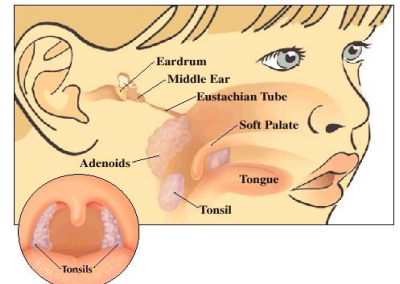
# PVS

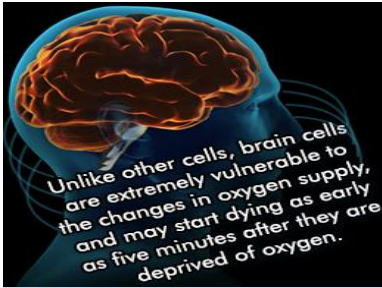


# Jahi McMath



# Jahi McMath Case History

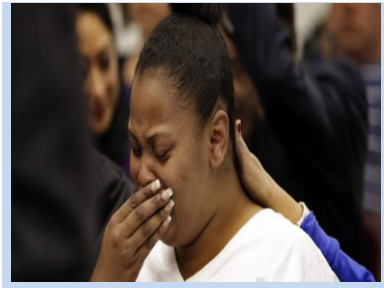




“An individual . . . . **is dead** . . . who has sustained **either**

- (1) irreversible cessation of circulatory and respiratory functions, **or**
- (2) irreversible cessation of all functions of the entire brain.”

Cal. H&S Code 7180(a)



**Argument 1**  
**Not dead**  
**under CA law**



“When an individual is pronounced [brain] dead . . . , there shall be **independent confirmation** by another physician.”

Cal. H&S Code 7181



“independent . . . physician”



Paul Fisher  
Stanford  
Child  
Neurology

Jahi is dead  
under  
California law

**Argument 2**  
CA law  
preempted

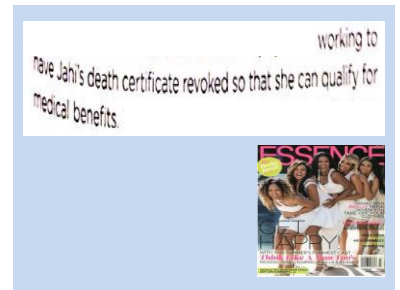
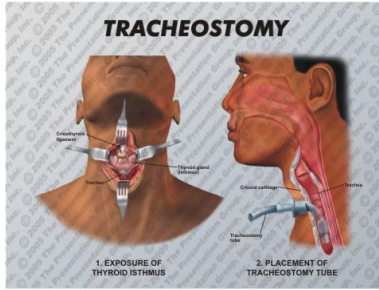


**Argument 3**  
CA law  
unconstitutional



**No**  
adjudication  
on the merits

**TRO only**  
stopgap to preserve  
status quo pending  
hearing



# Jahi McMath Lawsuits

**Claim:**  
CA should  
be like NJ

"Death . . . shall not be declared upon the basis of neurological criteria . . . when the licensed physician . . . , has reason to believe, . . . would violate the personal religious beliefs of the individual."  
N.J. Stat. 26:6A-5

CA rejected  
NJ rule

Brain death  
**1982**

Accommodation  
**2008**

“hospital shall  
[provide] next of kin  
with a **reasonably  
brief period of  
accommodation** . . . .”

Cal. H&S Code 1254.4

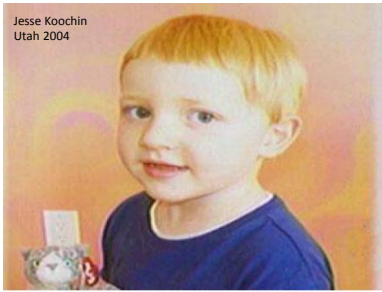
“**Reasonably brief  
period** . . . amount of  
time afforded to gather  
family or next of kin at  
the patient's bedside.”



“continue only  
previously ordered  
**cardiopulmonary  
support**. No other  
medical intervention  
is required.”

**No**  
adjudication  
on the merits

**Jahi McMath**  
**Not unique**



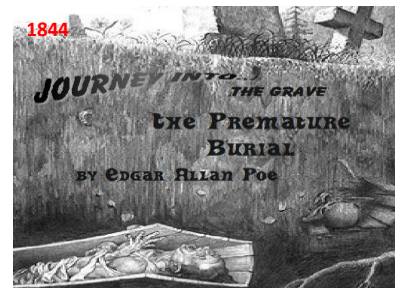
**More disputes**

<http://thaddeuspope.com/braindeath.html>

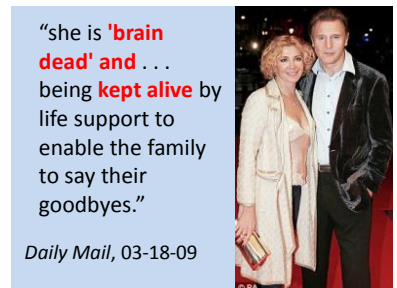
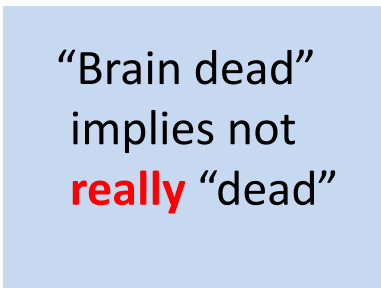
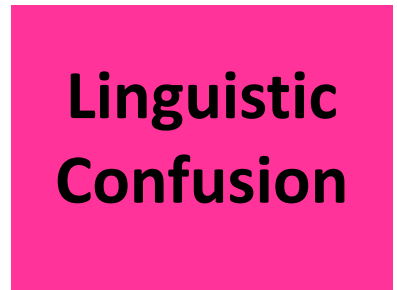
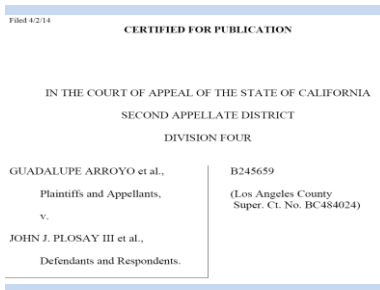
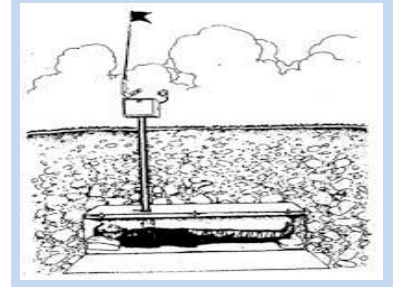
**Why** conflicts  
over brain  
death?

Taphophobia  
Linguistic confusion  
Variability  
Prognostic mistrust  
Conceptual confusion

**Taphophobia**







Brain-Dead Canadian Woman Dies After Giving Birth to Boy

# Variability Heterogeneity

Brain death  
**concept**  
accepted across  
USA & world

Irreversible  
cessation of all  
brain function  
including the brain  
stem

How is irreversible  
cessation  
**measured?**

**Legal variation**  
# physicians  
Qualifications  
How tests performed

“acceptable medical standards”

“ordinary standards”

“usual & customary standards”

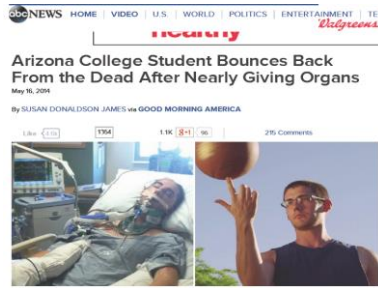
Variability of brain death determination guidelines in leading US neurologic institutions

David M. Gross, MD, MBA  
Parvaneh N. Yavineh, MD, PhD  
Shawndee Hayes, DO, MSW  
Felix M. Wijdicks, MD, PhD

**ABSTRACT**  
**Background:** In accordance with the Uniform Determination of Death Act, guidelines for brain death determination are developed at an institutional level, potentially leading to variability of practice. We evaluated the differences in brain death guidelines in major US hospitals with a strong presence of neurology and neurosurgery to determine whether there was evidence of variation from the guidelines as put forth by the American Academy of Neurology (AAN).  
**Methods:** We requested the guidelines for determination of death by brain criteria from the US News and World Report Top 50 neurosurgery/neurology institutions in 2008. We evaluated the guidelines for five categories of data: guideline performance, preclinical testing, clinical examination, apnea testing, and ancillary tests. We compared the guidelines directly with the AAN guideline for comparability/differences.  
**Results:** There was an 82% response rate to requests. Major discrepancies were present among institutions for all five categories. Variability existed in the guideline requirements for performance of the evaluation, pre-eligibility criteria for testing, specifics of the examination and apnea testing, and what types of ancillary tests could be performed, including what pitfalls or limitations might exist.  
**Conclusions:** Major differences exist in brain death guidelines among the leading neurologic hospitals in the United States. Adherence to the American Academy of Neurology guidelines is variable. If the guidelines reflect actual practice at each institution, there is substantial differences in practice which may have consequences for the determination of death and initiation of transplant procedures. *Neurology* 2008;70:884-889.

# Prognostic mistrust





**Mum declared 'dead' following heart attack makes miracle recovery after husband's plea**

Feb 27 2012 (<http://www.dailypress.com/news/health/news/2012/02/27/>)



A MUM who was declared "technically dead" made a miraculous recovery after her husband begged her not to go.  
Lorna Ballie's relatives were called to her bedside to say their final goodbyes after the mother of four had a huge heart attack.  
But within 45 minutes of being told she could not be

82513 Chris man, Tony Yable, comes back to life after his heart stops for 45 MINUTES | Mail Online

**MailOnline**

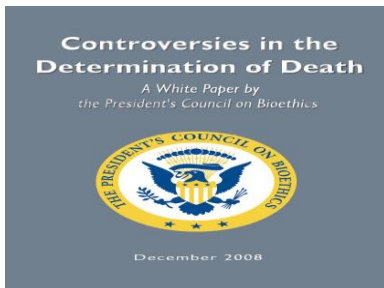
[show ad](#)

Father, 37, who was declared dead after his heart stopped for 45 MINUTES came back to life after son screamed 'you're not going to die'

THE NEW YORK TIMES BESTSELLER  
**SANJAY GUPTA, MD**  
DEEP MEDICAL CONSPIRACIES, CRIES, AND ACHIEVEMENTS OF CONSCIENCE  
**CHEATING DEATH**  
The Doctors and Medical Miracles that Are Saving Lives Against All Odds  
"Cheating... a first-hand adventure story... full of healthy heart-altering moments."  
—OLIVER BAKES

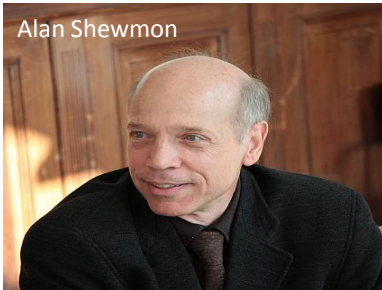
They were declared **brain dead**. It was written in their chart as such. And here they are, sitting up talking to me.

**Conceptual confusion**

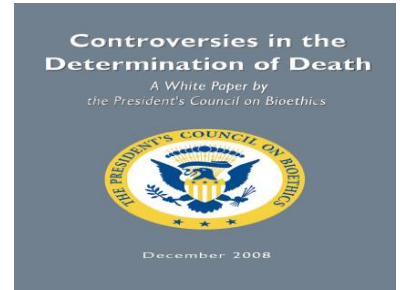


"brain death" = death?

"total brain failure" = death?



Heal wounds  
Fight infections  
Gestate fetus  
Stress response



**Legal  
status**

“total  
brain = death  
failure”

Legally  
settled  
since 1980s

Remains  
settled  
(legally)

“durable  
worldwide  
consensus”

Consent **not**  
required to  
stop LSMT

Bernat 2013

cites

Dead → Not a patient

Not a patient → No duty to treat

Custody of dead body → Other duties

Notification

Accommodation

Religious opt out

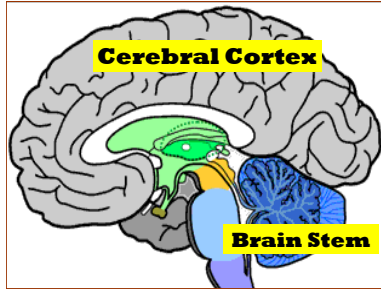


“Hospitals must establish written procedures for the **reasonable accommodation** of the individual's religious or moral objections . . . limits to the duration of the accommodation.”

10 N.Y.C.R.R. § 400.16



# PVS



No consciousness

No thoughts, feelings, sensations, desires, emotions

No purposeful action, social interaction, memory

Functioning brainstem tissue

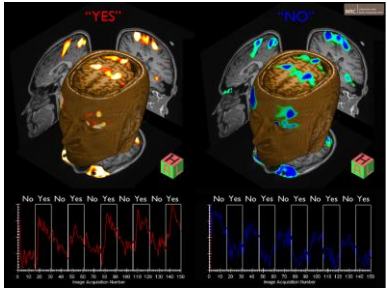
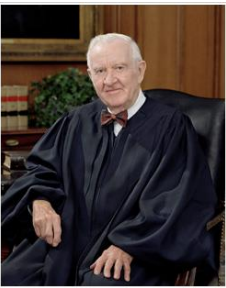
Maintain some autonomic functions: heart, lungs, kidneys and intestinal tract, certain reflex actions

Breathe, suck, spontaneous movements of eyes, arms, legs, respond to noxious stimuli with crying, exhibit facial expressions

PVS = Death ?

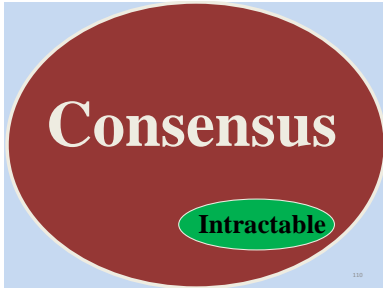


"life expired when her biological existence ceased serving any of her own interests"



Stop LSMT  
without  
consent

109



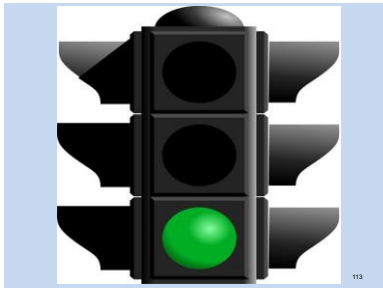
110



111

Green

112



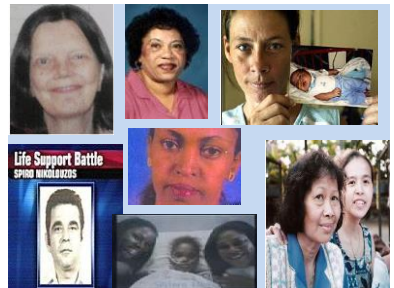
113

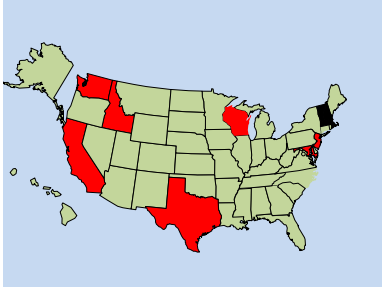


You may stop LSMT for  
**any reason**  
- with immunity  
- if your HEC agrees

Tex. H&S 166.046

1. 48hr notice HEC
2. Written decision
3. 10 day transfer





Resolution 505-08 TITLE: LEGAL SUPPORT FOR NONBENEFICIAL TREATMENT DECISIONS

Author: H Hugh Vincent, MD;  
William Andreck, MD  
Introduced by: District 8 Delegation  
Endorsed by: District 8 Delegation

CA  
E

Reference Committee  
October 4-6, 2008

WASHINGTON STATE MEDICAL ASSOCIATION  
HOUSE OF DELEGATES

WA

Resolution: C-5  
(A-09)

Subject: Legal Protection for Physicians When Treatment is Considered Futile  
Introduced by: King County Medical Society Delegation  
Referred to: Reference Committee C

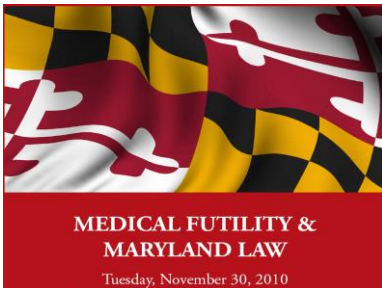
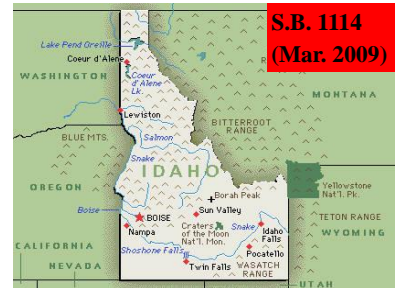
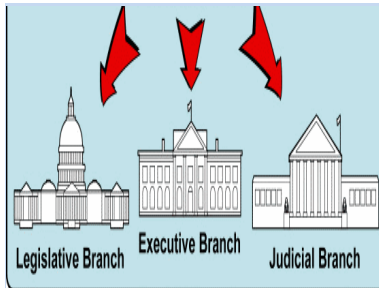
RESOLUTION 1 - 2004  
(read about the action taken on this resolution)

WI

Subject: Futility of Care

Introduced by: Michael Katzoff, MD and the Medical Society of Milwaukee County

RESOLVED, That the Wisconsin Medical Society, concurrent with a recommendation of the American Medical Association, Medical Futility in End-of-Life Care policy E-2.0037, supports the passage of state legislation which establishes a legally sanctioned extra-judicial process for resolving disputes regarding futile care, modeled after the Texas Advanced Directives Act of 1993.









138

HIPAA PERMITS DISCLOSURE OF COST TO OTHER HEALTH CARE PROFESSIONALS AS NECESSARY

**DNR/COLST CLINICAL ORDERS**  
for DNR/CPR and OTHER LIFE-SUSTAINING TREATMENT

Patent Last Name \_\_\_\_\_  
Patent First/Middle Initial \_\_\_\_\_  
Date of Birth \_\_\_\_\_

FIRST follow these orders. THEN contact Clinician. (If patient resident has no pulse and/or no respirations)

**A**

**DO NOT RESUSCITATE (DNR)**  **CARDIOPULMONARY RESUSCITATION (CPR)**  
 **DNR Do Not Attempt Resuscitation (Allow Natural Death)**  **CPR Attempt Resuscitation**

For patient who is breathing and/or has a pulse, GO TO SECTION B - G, PAGE 2 FOR OTHER INSTRUCTIONS. CLINICIANS MUST COMPLETE SECTIONS A.1 THROUGH A.5.

**A.1 Basis for DNR Order**  
Informed Consent - Complete Section A.2  
Facility - Complete Section A.3

**A.2 Informed Consent**  
Informed Consent for this DO NOT RESUSCITATE (DNR) Order has been obtained from:  
\_\_\_\_\_  
Name of Person Giving Informed Consent (Can be Patient) Relationship to Patient (Write "self" if Patient)

**A.3 Facility (required if no consent)**  
 I have determined that resuscitation would not prevent the imminent death of this patient should the patient experience cardiopulmonary arrest. Another clinician has also so determined.

MMJ 2007 Page 1 of 2

**Maryland Medical Orders for Life-Sustaining Treatment (MOLST)**

Patient's Last Name: First, Middle Initial \_\_\_\_\_ Date of Birth \_\_\_\_\_  Male  Female

This form includes medical orders for Emergency Medical Services (EMS) and other medical personnel regarding cardiopulmonary resuscitation and other life-sustaining treatment options for a specific patient. It is valid in all health care facilities and programs throughout Maryland. This order form shall be kept with other active medical orders in the patient's medical record. The physician or nurse practitioner must accurately and legibly complete the form and then sign and date it. The physician or nurse practitioner shall select only 1 choice in Section 1 and only 1 choice in any of the other Sections that apply to this patient. If any of Sections 2-6 do not apply, leave them blank. A copy or the original of every completed MOLST form must be given to the patient or authorized decision maker within 48 hours of completion of the form or sooner if the patient is discharged or transferred.

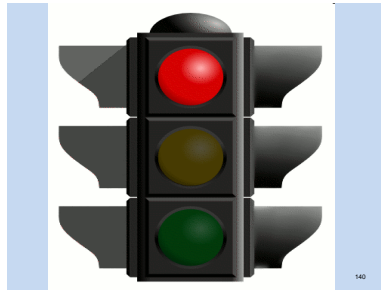
**CERTIFICATION FOR THE BASIS OF THESE ORDERS:** Mark any and all that apply:

I hereby certify that these orders are entered as a result of a discussion with and the informed consent of:  
 the patient, or  
 the patient's health care agent as named in the patient's advance directive, or  
 the patient's guardian of the person as per the authority granted by a court order, or  
 the patient's surrogate as per the authority granted by the Health Care Decisions Act, or  
 if the patient is a minor, the patient's legal guardian or another legally authorized adult.

Or, I hereby certify that these orders are based on:  
 instructions in the patient's advance directive, or  
 other legal authority in accordance with all provisions of the Health Care Decisions Act. All supporting documentation must be contained in the patient's medical records.



139



140



142



144

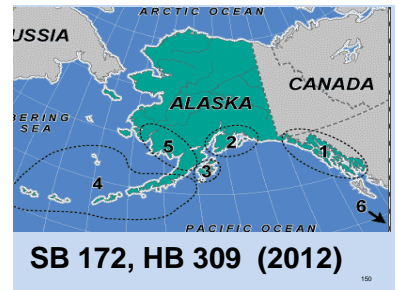


“If surrogate directs [LST] . . . provider that does not wish to provide . . . shall **nonetheless comply** . . .”

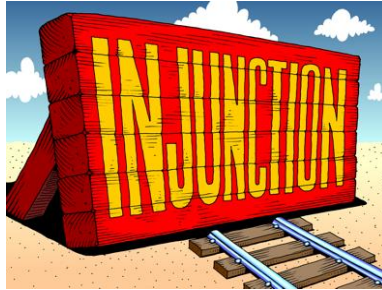
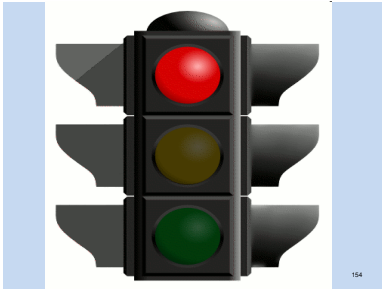


Discrimination in Denial of Life Preserving Treatment Act

“Health care . . . . **may not be . . . . denied** if . . . . directed by . . . . surrogate”



SDM	Red Light
Agent / POA	Yes
Default surrogate	No; Maybe
Guardian	No; Maybe

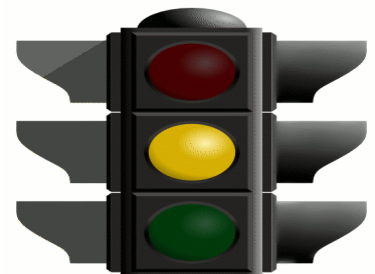


Life & death stakes  
Unclear facts  
Unclear law

**TRO**



**Yellow**



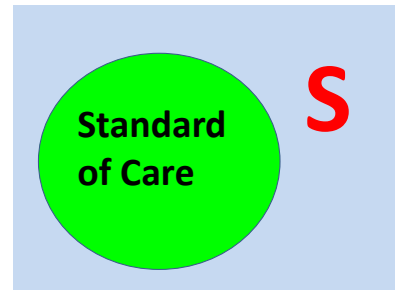
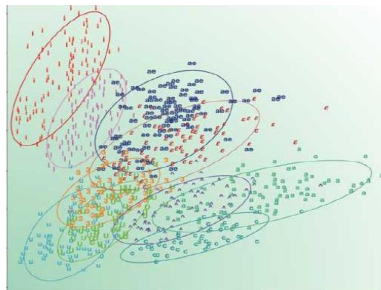
“provider . . . **may decline** to comply . . . contrary to generally accepted health care standards . . .”

Cal. Prob. Code 4735

“provider . . . acting in good faith and in accordance with generally accepted health care standards . . . **not subject to civil or criminal liability** or to discipline. . .”

Cal. Prob. Code 4740

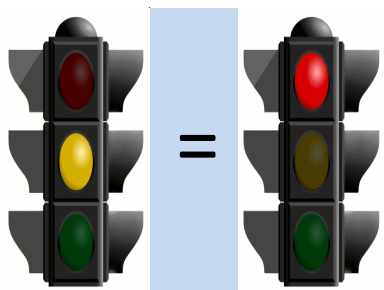
“generally accepted health care standards”



**Safe harbor attributes**

- Clear
- Precise
- Concrete
- Certain





## Thaddeus Mason Pope

Director, Health Law Institute  
Hamline University School of Law  
1536 Hewitt Avenue  
Saint Paul, Minnesota 55104  
T 651-523-2519  
F 901-202-7549  
E Tpope01@hamline.edu  
W www.thaddeuspope.com  
B medicalfutility.blogspot.com

174

## References

175

### Medical Futility Blog

Since July 2007, I have been blogging, almost daily, to [medicalfutility.blogspot.com](http://medicalfutility.blogspot.com). This blog is focused on reporting and discussing legislative, judicial, regulatory, medical, and other developments concerning medical futility and end-of-life medical treatment conflict. The blog has received over 600,000 direct visits. Plus, it is distributed through RSS, email, Twitter, and re-publishers like Westlaw, Bioethics.net, Wellsphere, and Medpedia.

176

Pope TM, *Dispute Resolution Mechanisms for Intractable Medical Futility Disputes*, 58 N.Y.L. SCH. L. REV. 347-368 (2014) .

Pope TM & White DB, *Patient Rights*, in OXFORD TEXTBOOK OF CRITICAL CARE (2d ed., Webb et al., eds., forthcoming 2014).

Pope TM & White DB, *Physician Power*, in OXFORD HANDBOOK OF DEATH AND DYING (Robert Arnold & Stuart Younger eds., forthcoming 2014).

177

White DB & Pope TM, *The Courts, Futility, and the Ends of Medicine*, 307(2) JAMA 151-52 (2012).

Pope TM, *Physicians and Safe Harbor Legal Immunity*, 21(2) ANNALS HEALTH L. 121-35 (2012).

Pope TM, *Medical Futility*, in GUIDANCE FOR HEALTHCARE ETHICS COMMITTEES ch.13 (MD Hester & T Schonfeld eds., Cambridge University Press 2012).

178

Pope TM, *Review of LJ Schneiderman & NS Jecker, Wrong Medicine: Doctors, Patients, and Futile Treatment*, 12(1) AM. J. BIOETHICS 49-51 (2012).

Pope TM, *Responding to Requests for Non-Beneficial Treatment*, 5(1) MD-ADVISOR: A J FOR THE NJ MED COMMUNITY (Winter 2012) at 12-17.

Pope TM, *Legal Fundamentals of Surrogate Decision Making*, 141(4) CHEST 1074-81 (2012).

179

Pope TM, *Legal Briefing: Medically Futile and Non-Beneficial Treatment*, 22(3) J. CLINICAL ETHICS 277-96 (Fall 2011).

Pope TM, *Surrogate Selection: An Increasingly Viable, but Limited, Solution to Intractable Futility Disputes*, 3 ST. LOUIS U. J. HEALTH L. & POL'Y 183-252 (2010).

Pope TM, *Legal Briefing: Conscience Clauses and Conscientious Refusal*, 21(2) J. CLINICAL ETHICS 163-180 (2010).

180



Pope TM, *The Case of Samuel Golubchuk: The Dangers of Judicial Deference and Medical Self-Regulation*, 10(3) AM. J. BIOETHICS 59-61 (Mar. 2010).

Pope TM, *Restricting CPR to Patients Who Provide Informed Consent Will Not Permit Physicians to Unilaterally Refuse Requested CPR*, 10(1) AM. J. BIOETHICS 82-83 (Jan. 2010).

Pope TM, *Legal Briefing: Medical Futility and Assisted Suicide*, 20(3) J. CLINICAL ETHICS 274-86 (2009).

181

Pope TM, *Involuntary Passive Euthanasia in U.S. Courts: Reassessing the Judicial Treatment of Medical Futility Cases*, 9 MARQUETTE ELDER'S ADVISOR 229-68 (2008).

Pope TM, *Institutional and Legislative Approaches to Medical Futility Disputes in the United States*, Invited Testimony, President's Council on Bioethics (Sept. 12, 2008).

182

Pope TM, *Medical Futility Statutes: No Safe Harbor to Unilaterally Stop Life-Sustaining Treatment*, 75 TENN. L. REV. 1-81 (2007).

Pope TM, *Mediation at the End-of-Life: Getting Beyond the Limits of the Talking Cure*, 23 OHIO ST. J. ON DISP. RESOL. 143-94 (2007).

Pope TM, *Philosopher's Corner: Medical Futility*, 15 MID-ATLANTIC ETHICS COMM. NEWSL, Fall 2007, at 6-7

183